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## 3GPP Long Term Evolution eUTRAN

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KTL FEI STU 2010







## Agenda

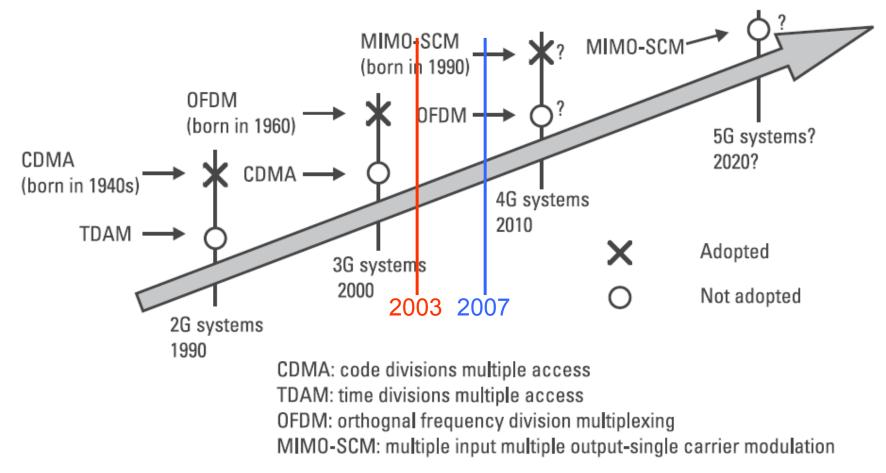
- OFDM vs. CDMA
- LTE candidates
- Details of LTE design
- SAE/EPC
- LTE-Advanced







## CDMA vs. OFDM





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## **3GPP Feasibility Study**

"The studies carried out within the study item indicates that the basic OFDM scheme offers the possibility for improved performance, compared to HSDPA release 5 with a Rake receiver, for channels with significant time dispersion. This performance advantage decreases for channels with less time dispersion. However, by the introduction of more advanced receiver structure, there is **no significant performance** difference between HSDPA release 5 and the performance of the OFDM."



**3** EMS

3GPP TR 25.892



### **Texas Instruments**

"With larger channel bandwidths, OFDM offers advantage over CDMA because of simplified receiver processing: 10 MHz, 20 MHz."







### Not so fast...

- OFDMA
- MC-CDMA
- SC modulation (spread / not spread)







## OFDM/OFDMA

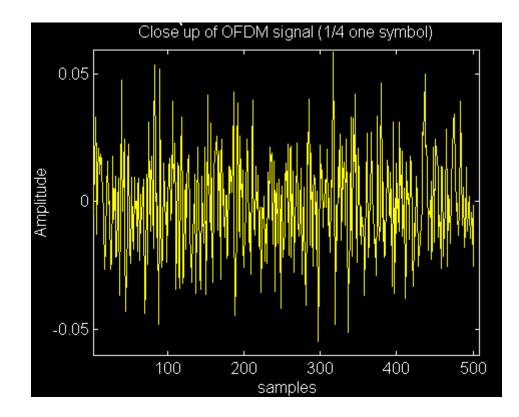
PROS	CONS
<ul> <li>Resistance in frequency</li></ul>	<ul> <li>Sensitive to frequency offsets</li></ul>
selective channels <li>ISI &amp; ICI reduction</li> <li>Simple equalization</li> <li>Less sensitive to timing offsets</li> <li>Resistance to NB interference</li> <li>Spectrum efficiency</li> <li>Spectrum flexibility</li> <li>Suitable for MIMO</li>	& phase noise <li>Large PAPR</li>







## OFDM signal – time view



Peak power = N \* average power (for N subcarriers)





# MC-CDMA

#### PROS

#### CONS

- Similar to OFDM
  - Multipath resistance
  - Flexible
  - Simple timing synchronization
  - Frequency diversity
- PAPR can be reduced by code allocation

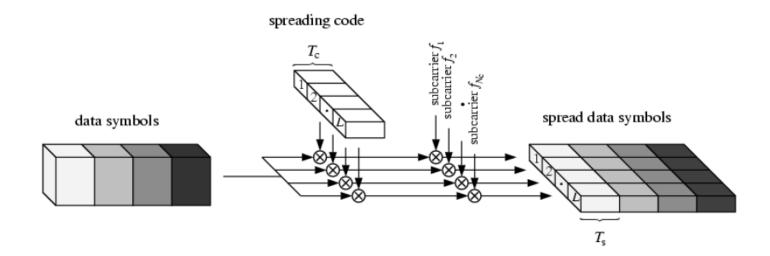
- Sensitive to frequency offsets
   & phase noise
- PAPR > Single carrier modulation







### MC-CDMA

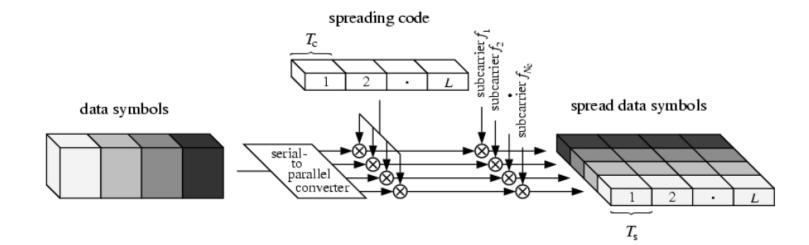








#### MC-DS-CDMA

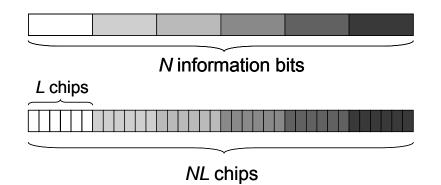


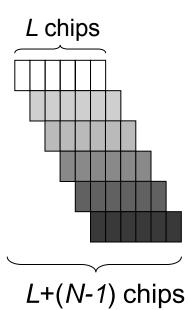






## Complementary code CDMA



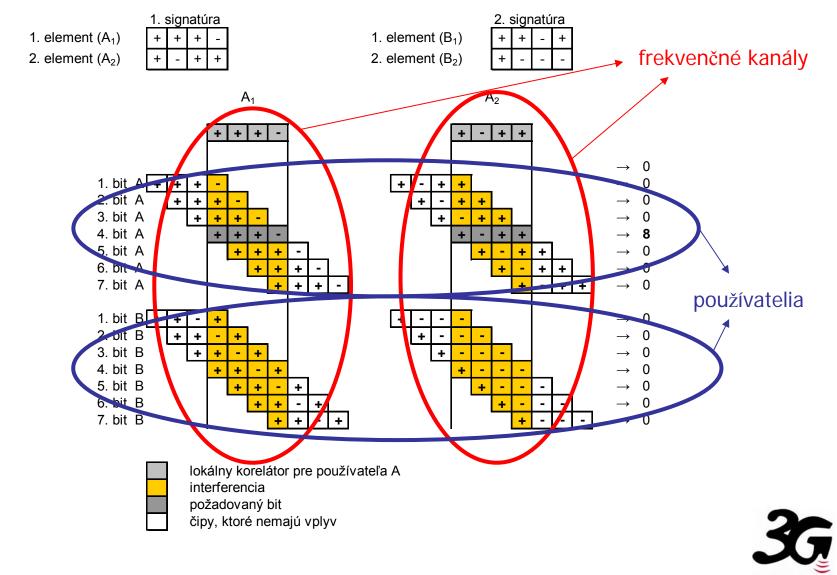






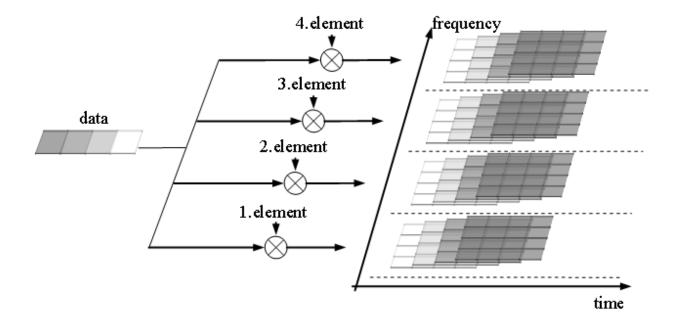
F.M

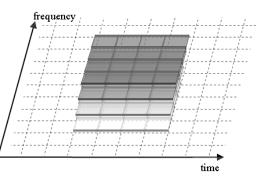
## **Complementary codes**

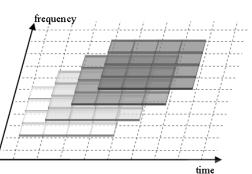




## 2D Complementary codes







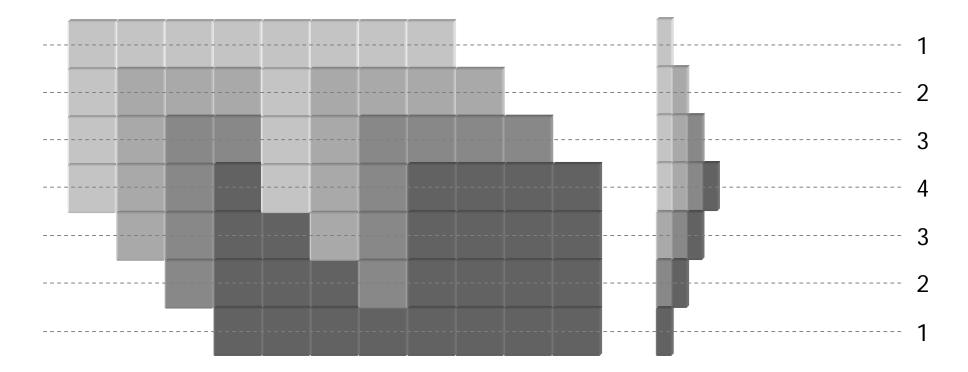






## **Transmission strategies**

#### J diagonal – 1 user









## Single carrier modulation

Spread (SC-DS-CDMA)

TDMA / DFT-spread OFDMA

- Pros
  - Low PAPR
  - Multipath fading resistance
  - NB, WB interference rejection
- Cons
  - Advanced receivers
  - MAI if not synchronized

- Pros
  - Spectrum flexibility
  - Low PAPR
  - Intra cell orthogonality in time & frequency
- Cons
  - Advanced receivers
  - Tight frequency synchronization



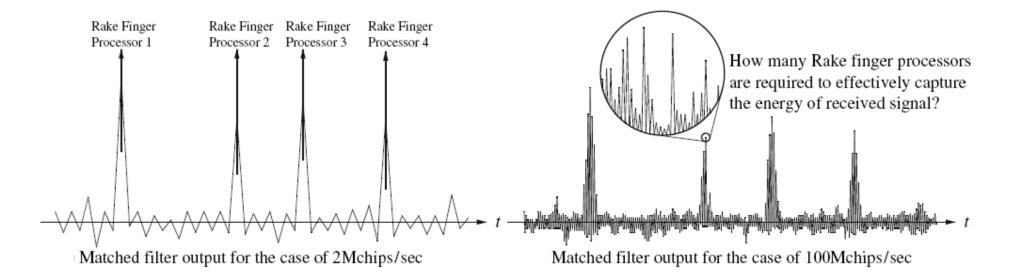


## Why not CDMA?

- Time domain equalization
  - not feasible for chip rates > ~ Mcps

 $R_x$  paths =  $\frac{T_{\text{delay spread}}}{T} + 1$ T<sub>chip</sub>

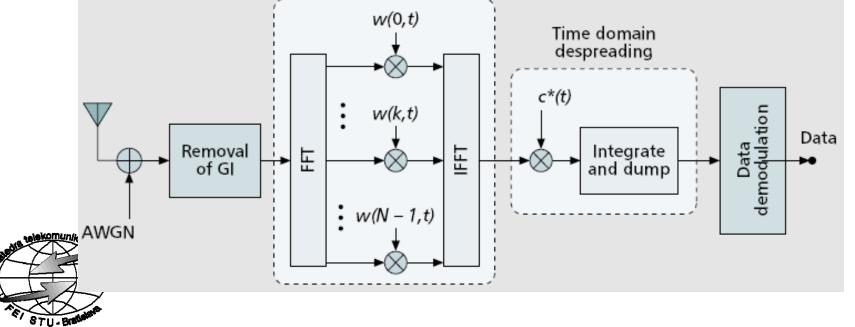
@ 2,1 GHz T<sub>delay spread</sub> = 3~5 µsec







#### Frequency domain equalization for DS-CDMA Time domain spreading c(t) Data modulation Insertion of GI Data Frequency domain equalization w(0,t) Time domain





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## OFDM vs. CDMA

- always look for fair comparison
- take into account application & environment

- for 20 MHz channel, mobile usage, @ GHz carrier, multiple users & current technology capabilities
  - OFDM offers better (smaller) granularity
    - more efficient scheduling & resource utilization
  - OFDM gives better flexibility
    - scalable bandwidth
  - OFDM is better suited for MIMO



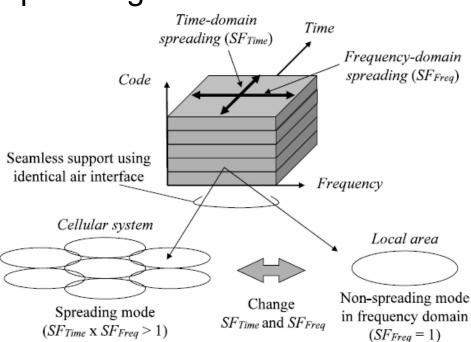
• flat fading due to low rate parallel sub-channels





## Way forward?

- NTT DoCoMo
- DL = VSF-OFCDM with 2D spreading
- UL = VSCRF-CDMA
- 64 QAM
- 12x12 MIMO
- = 5 Gbps in 100 MHz (2007)

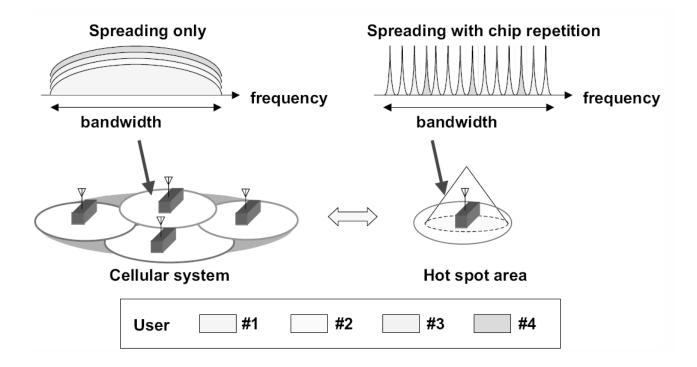






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### **VSCRF-CDMA**

















## **3GPP** candidates

- Downlink
  - OFDMA [FDD/TDD]
  - MC-WCDMA [FDD]
  - MC-TD-SCDMA [TDD]

 $960kchip / s = \frac{3,84Mchip / s}{5MHz} \cdot 1,25MHz$ 

- Uplink
  - SC-FDMA [FDD/TDD]
  - OFDMA [FDD/TDD)
  - MC-WCDMA [FDD]
  - MC-TD-SCDMA [TDD]







## Why LTE?

• 3 competing standards







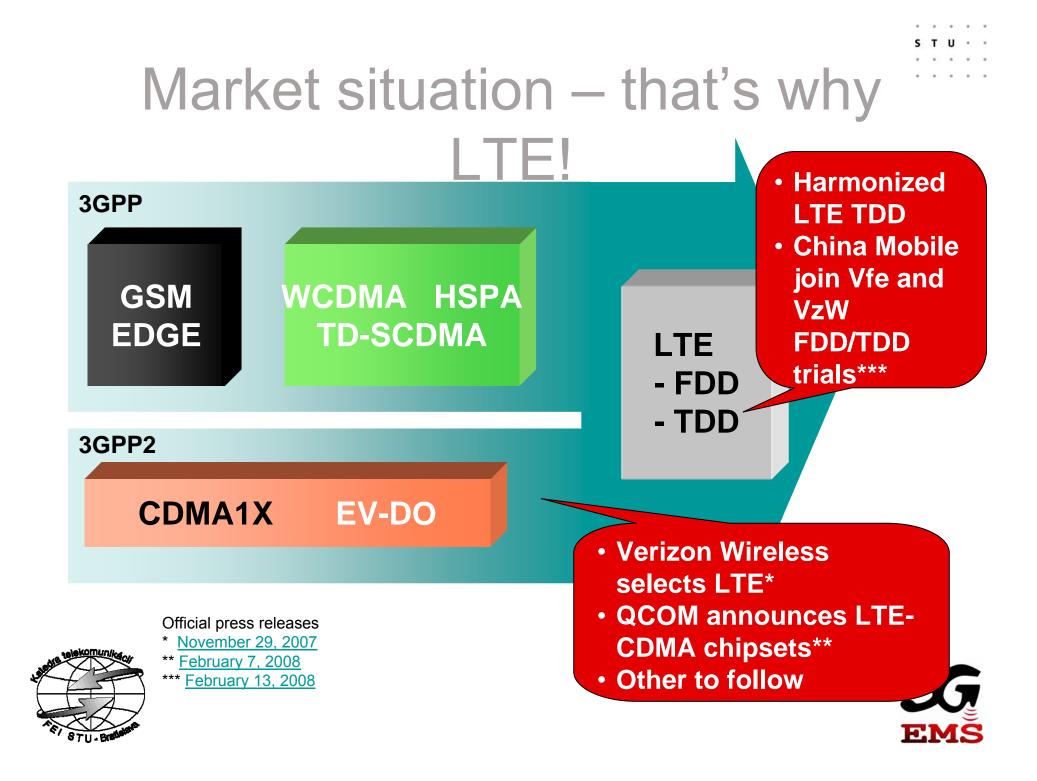












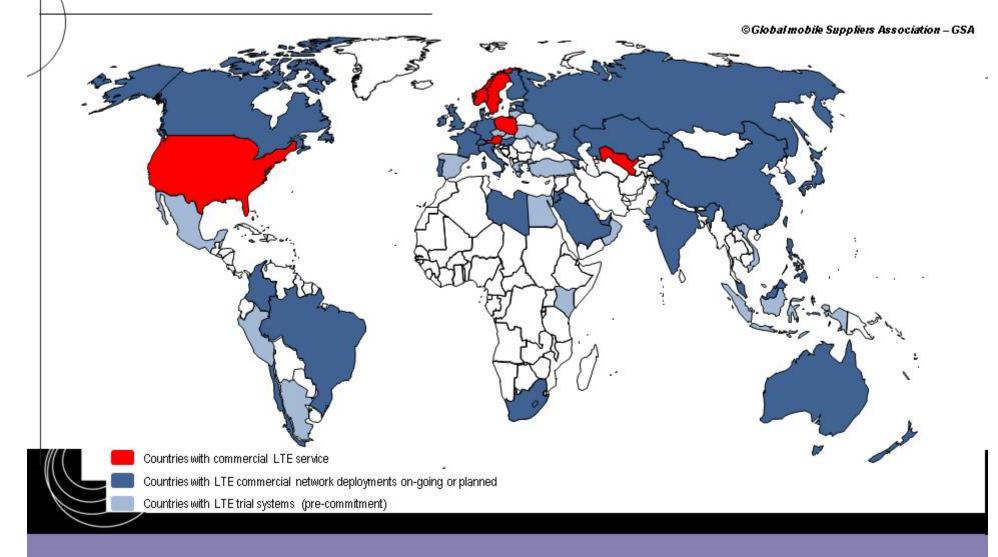
# **156 operators in 64 countries investing in LTE** 113 commercial LTE network commitments in 46 countries *43 additional pre-commitment trials*

Source: GSA - Evolution to LTE report

October 26, 2010



www.gsacom.com



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## Concepts







## Concepts - Terminology

- LTE = Long Term Evolution (of UTRAN)
- SAE = System Architecture Evolution (of Core)

studies

LTE resulted in E-UTRAN (Evolved UTRAN) SAE resulted in EPC (Evolved Packet Core)

E-UTRAN + EPC = EPS (Evolved Packet System)





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### 3GPP LTE – Requirements/targets

- Focus on PS-domain services
- High data rates
  - Peak data rates: Beyond 100 Mbps (DL) / Beyond 50 Mbps (UL)
  - Average user throughput: 3-4 times HSPA Release 6
  - Cell-edge user throughput: 2-3 times HSPA Release 6

#### Low latency

- User plane: Less than 10 ms (RAN RTT)
- Control place: Less than 50 ms (dormant  $\rightarrow$  active)

#### High spectral efficiency

- 3-4 times HSPA Release 6
- Improved performance for broadcast services

#### Spectrum flexibility

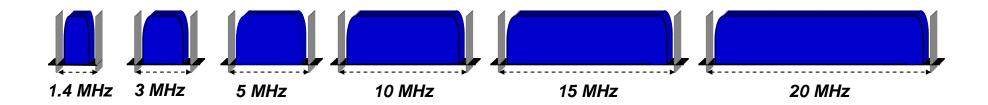
- Deployable in a wide-range of different spectrum allocations of different sizes
- Unpaired and paired spectrum





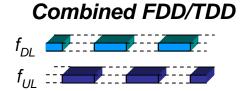


## Spectrum / duplex flexibility

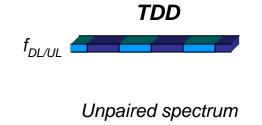




Highest data rates for given bandwidth and peak power



Reduced UE complexity

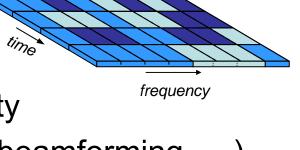




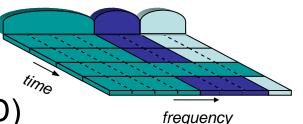


## Key principles

- OFDM on physical layer
- 1 ms / 180 kHz scheduling granularity
- Advanced Antenna System (MIMO, beamforming, ...)
- 1 Node RAN architecture
- 1 phase access (UE  $\rightarrow$  eNB  $\rightarrow$  CN)
- 2 RRC states only (IDLE, CONNECTED)
- Signaling / user data split in CN (MME, SGW)



Downlink



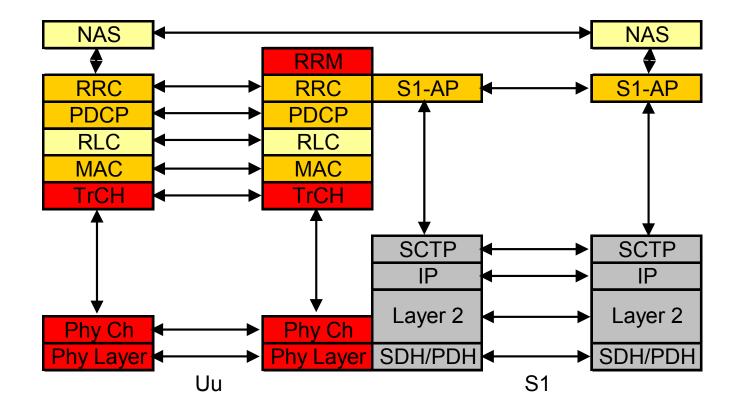




Uplink



### Protocol model – control plane







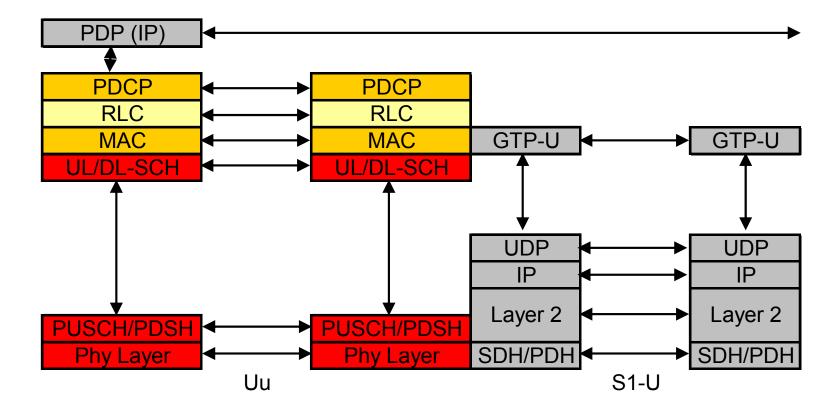
MME







## Protocol model – user plane





eNode B

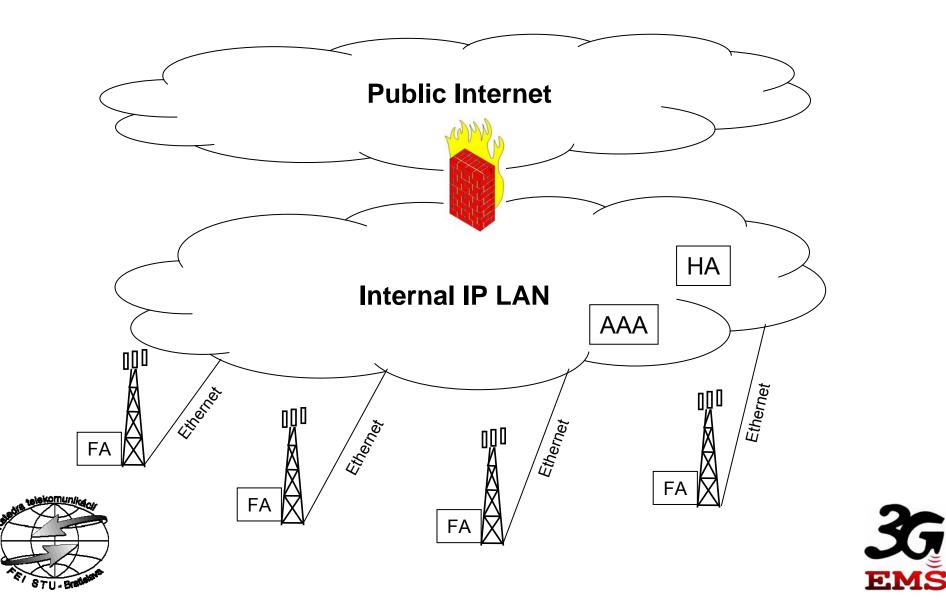
SGW







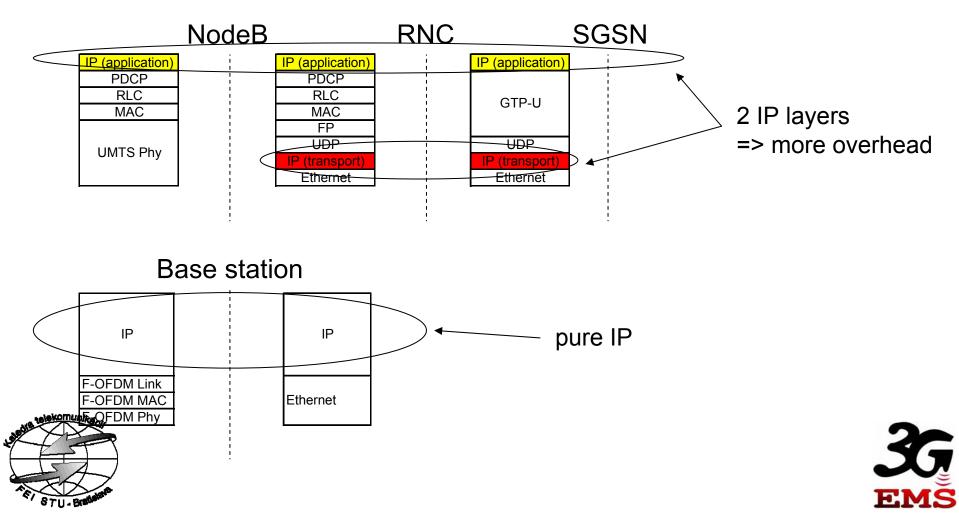
### Flat all IP architecture





## All IP – a comparison

• UMTS all IP vs. F-OFDM all IP

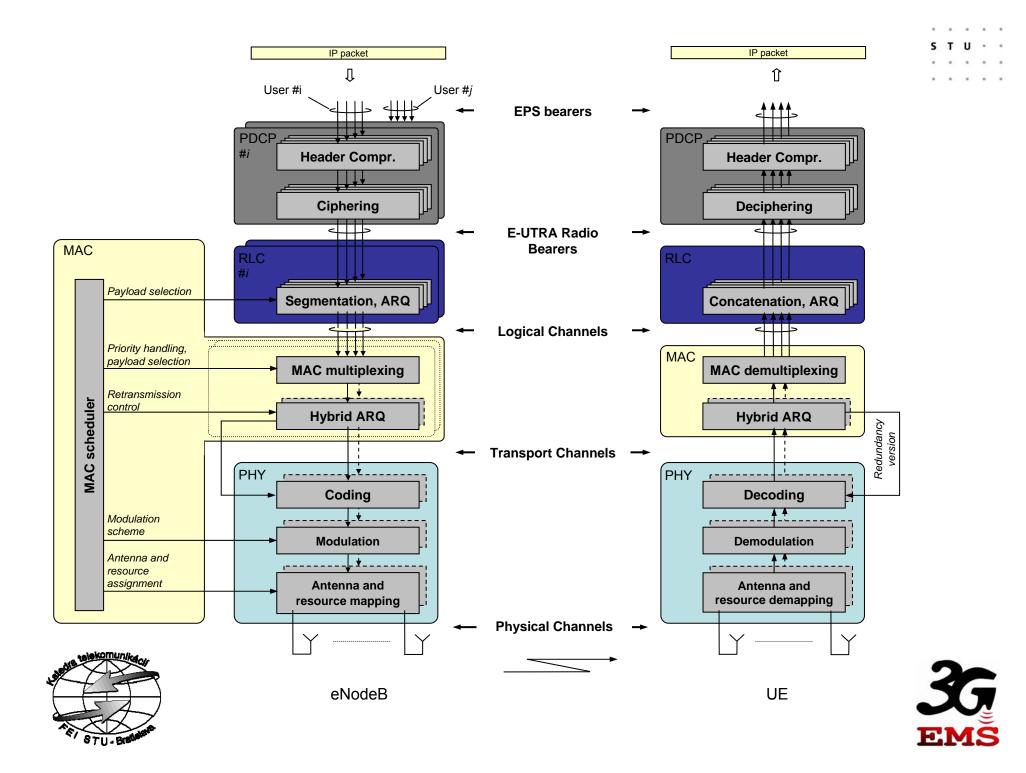


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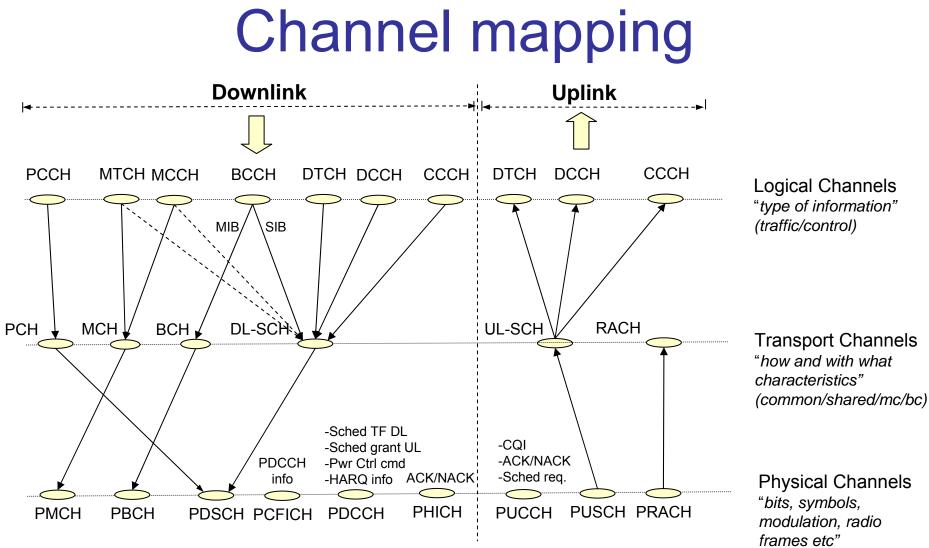
### **Channel Structure**















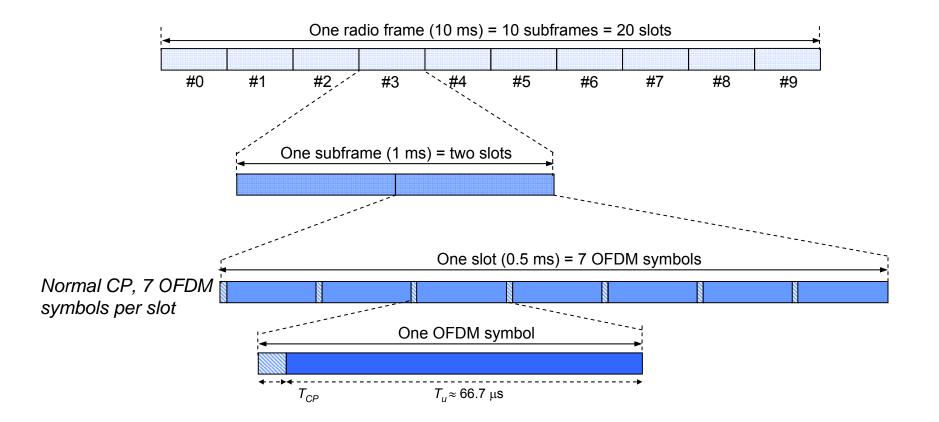
### **Time-domain Structure**







# Time-domain Structure (FDD)







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# L1 basics

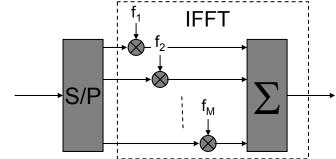


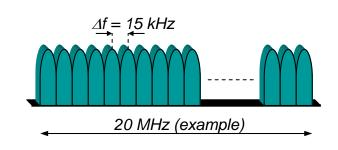




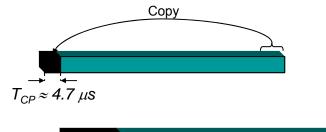
#### Downlink – OFDM with Cyclic Prefix

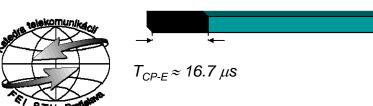
- Parallel transmission using a large number of narrowband "sub-carriers"
- "Multi-carrier" transmission
  - Typically implemented with FFT (Fast Fourier Transform) and Inverse FFT





- Insertion of cyclic prefix prior to transmission
  - Improved robustness in time-dispersive channels requires CP > delay spread
  - Spectral efficiency loss





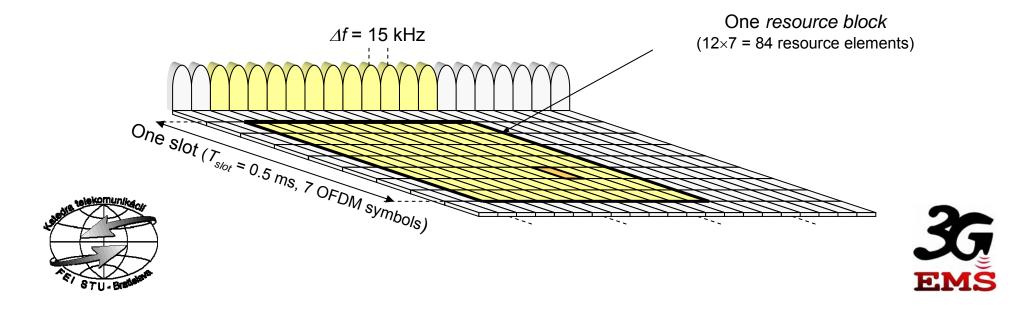
Configuration, $\Delta f$		CP length	Symbols per slot	
Normal	15 kHz	≈4.7 µs*	7	
	15 kHz	≈16.7 µs	6	
Extended	7.5 kHz	≈33.3 μs	3	

\* First symbol of each slot has a CP length of 5.2  $\mu$ 



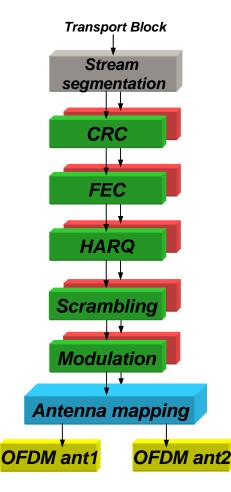
## **Resource Blocks**

- The basic TTI (Transmission Time Interval) for DL-SCH is 1 ms
  - TTI is a transport channel property
  - Subframe is a *physical channel property*
  - One (or two) transport blocks per TTI sent to L1
- One resource block is 12 subcarriers during one 0.5 ms slot



# **Downlink Coding Chain**

Segmentation for per-stream channel coding/decoding and error detection 24 bit CRC addition Rel6 Turbo coding Select sub-set of coded bits as determined by scheduler and HARQ status Scrambling for inter-cell interference randomization Modulation as determined by scheduler (QPSK, 16QAM, 64QAM) OFDM modulation (per antenna)







# Downlink phy channels

- Physical Downlink Shared Channel, PDSCH
- Physical Broadcast Channel, PBCH
- Physical Multicast Channel, PMCH
- Physical Control Format Indicator Channel, PCFICH
- Physical Downlink Control Channel, PDCCH
- Physical Hybrid ARQ Indicator Channel, PHICH







# Peak to Average Power Ratio

- Extremely high for pure OFDM signals
- Demands high amplifier linearity
- Impacts battery life





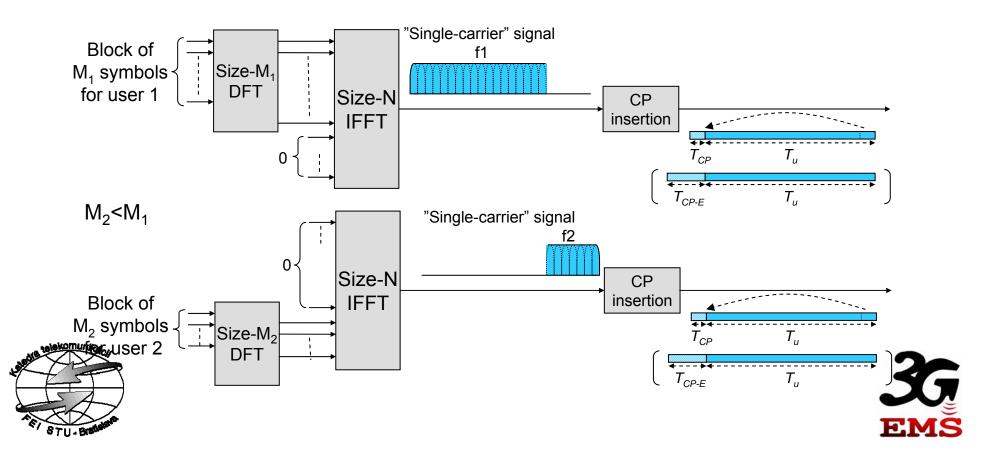


# Uplink transmission scheme

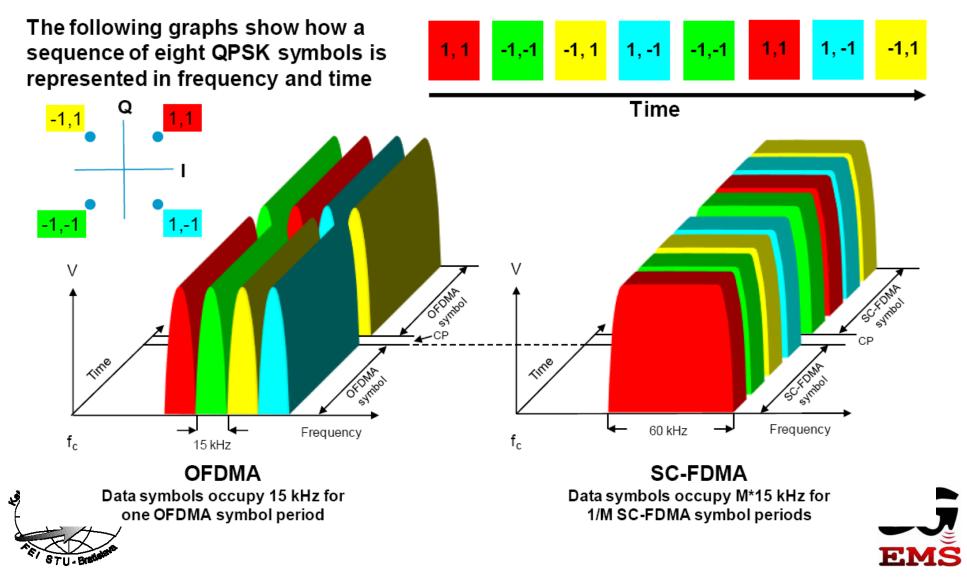
- OFDM with DFT-based pre-coding ⇒ Low PAPR
- Same basic "OFDM" parameters as for downlink

-  $\Delta f$  = 15 kHz,  $T_{CP}\approx 4.7$  / 5.2  $\mu s,$   $T_{CP\text{-}E}\approx 16.7$   $\mu s$ 

• Orthogonal uplink - no intra cell interference



# QPSK example with 4 subcarriers



# Uplink Coding Chain

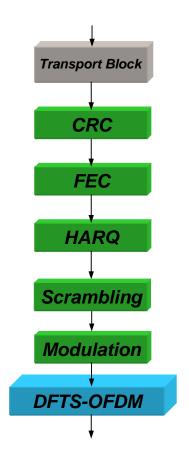
24 bit CRC addition

Rel6 Turbo coding

Select sub-set of coded bits as determined by scheduler and HARQ status

Scrambling for interference randomization

Modulation as determined by scheduler (QPSK, 16QAM, 64QAM)









# Uplink phy channels

- Physical Uplink Shared Channel, PUSCH
- Physical Uplink Control Channel, PUCCH
- Physical Random Access Channel, PRACH





# **UE Categories**

Category	1	2	3	4	5	
DL peak rate	10	50	100	150	300	
UL peak rate	5	25	50	50	75	
Max DL mod	64QAM					
Max UL mod	16QAM 6				64QAM	
Layers for spatial mux.	1 2			4		









# Key challenges

#### **Radio Resource Management**

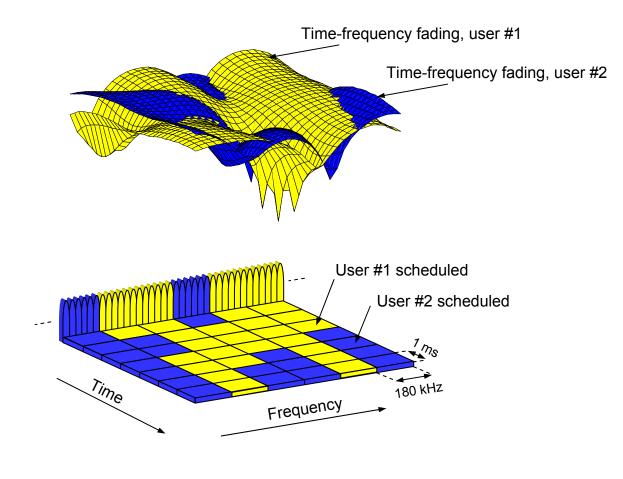
- Not standardized (just RRC messages)
- Intercell Interference Mitigation
- Scheduling & channel estimation
- MIMO operation
- Power control





# **Channel-dependent Scheduling**

- HSPA channel-dependent scheduling in time-domain only
- LTE channel-dependent scheduling in time and frequency domains

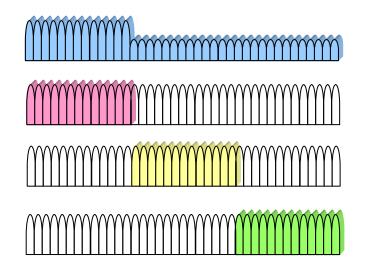








# Cell/user separation – example



Cell center terminals

Cell edge terminals

Neighbor cell 1 edge terminals

Neighbor cell 2 edge terminals

Coordination over X2 interface





# MIMO

- Single User MIMO (DL only)
  - Precoded spatial multiplexing  $\rightarrow$  higher peaks
- Multi User MIMO (DL only)
  - Multiple UEs per RB
  - Max one layer per UE
- Collaborative MIMO (UL only)
  - Use of CDMA for individual pilots
- Beamforming (TDD)

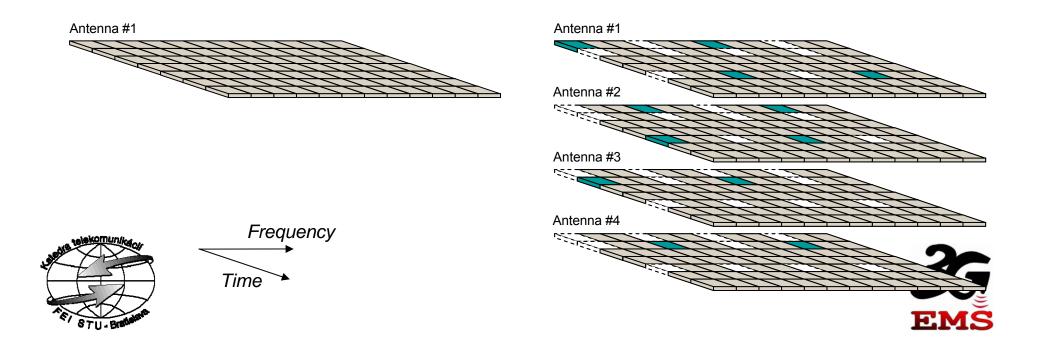


Interference suppression



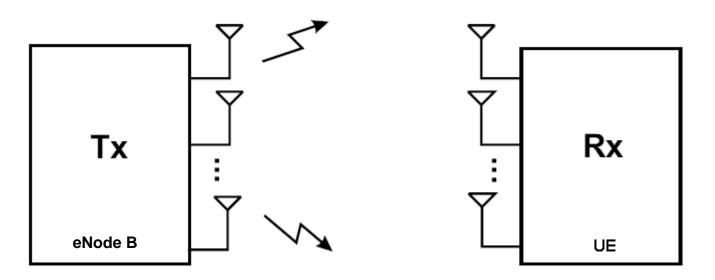
# Multi-antenna transmission

- One, two, or four *antenna ports*
- Multiple antenna ports ⇒ Multiple time-frequency grids
- Each antenna port defined by an associated *Reference Signal*

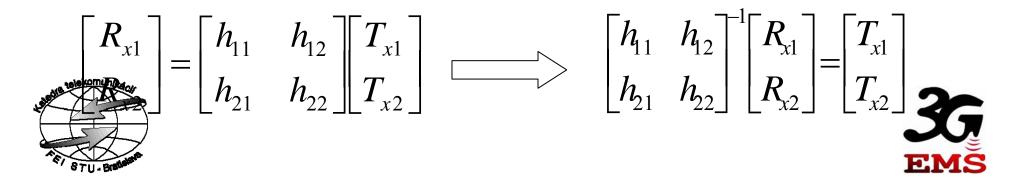




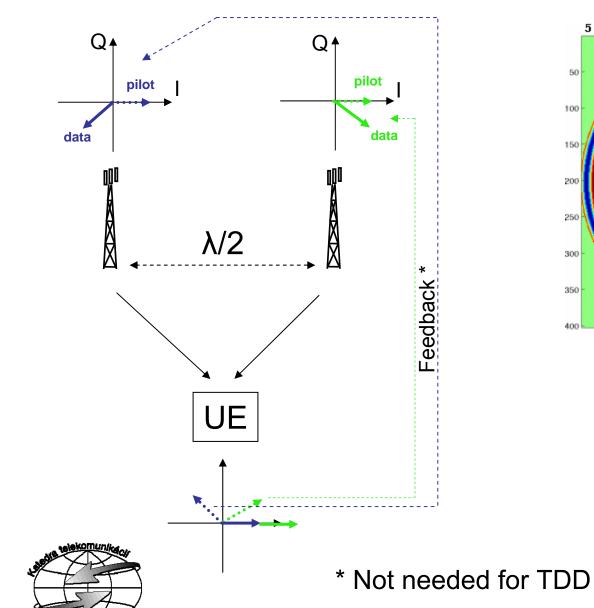
#### **MIMO** basics

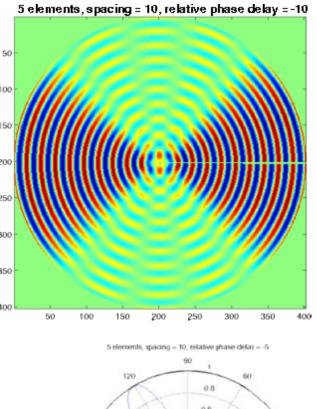


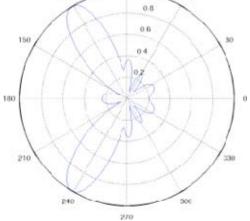
$R_{x1} = h_{11}T_{x1} + h_{12}T_{x2}$	
$R_{x2} = h_{21}T_{x1} + h_{22}T_{x2}$	det(H)≠ 0



#### Beamforming



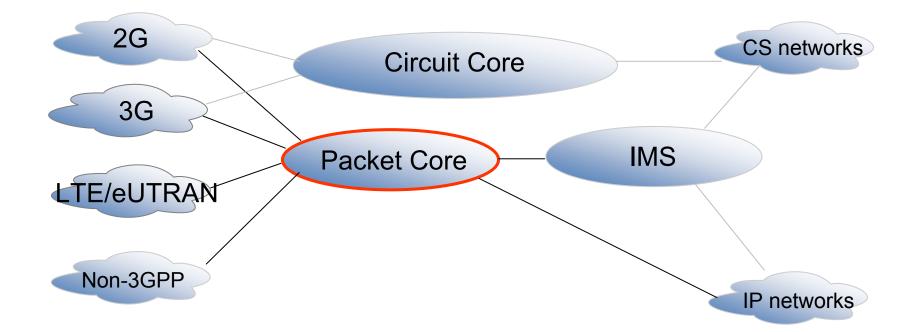








# Where is SAE?

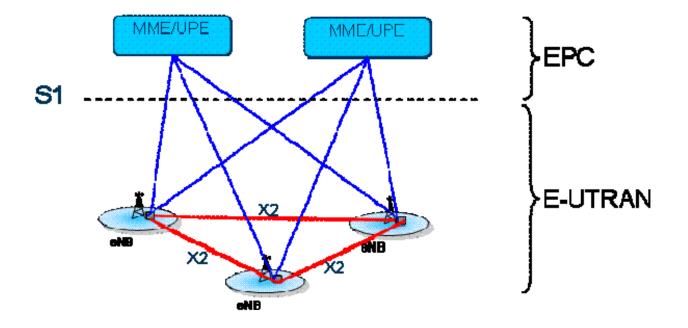








#### SAE/EPC

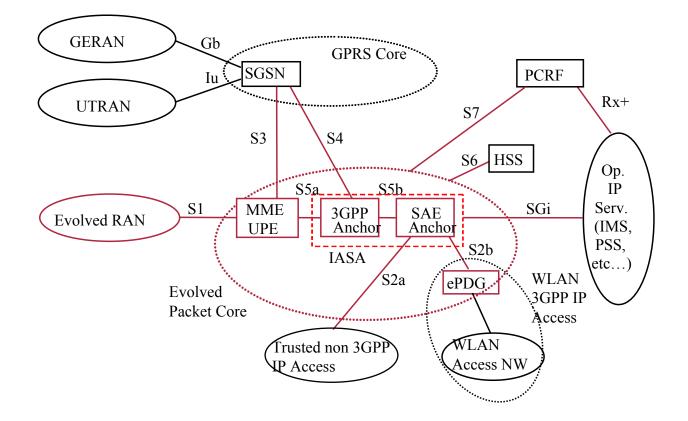








# Detailed EPC view









# Terminals (2008)



















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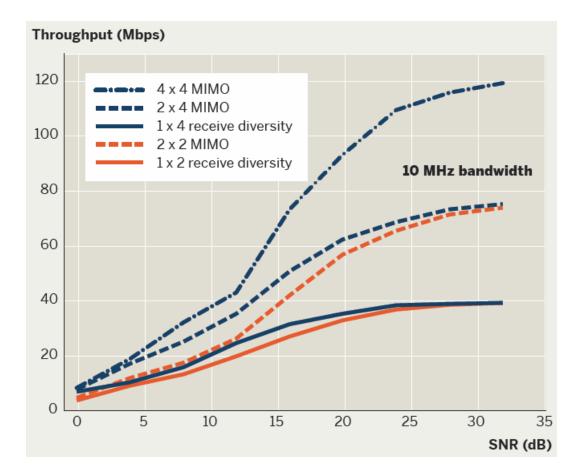
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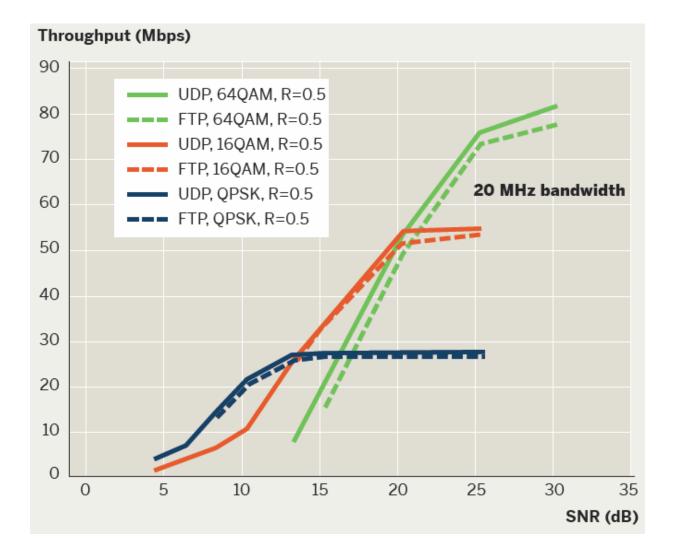
# Lab – AWGN, 10 MHz







#### Lab - PB3 channel, 20 MHz, 2x2









## Field results









## **Field results**

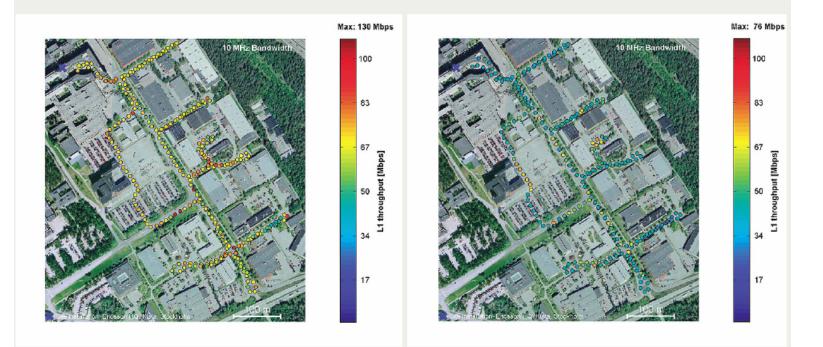






#### Field

Left: Throughput relative to location for a 4x4 MIMO setup using 10 MHz bandwidth and dual-polarized antennas. Right: Throughput relative to location for a 2x2 MIMO setup using 10 MHz bandwidth and dual-polarized antennas. Base station located at X in both plots.









#### Comparison – 5 MHz, 64 QAM, 4x4 MIMO

#### HSDPA

14,4 x 1,5 (64QAM) x 4 (MIMO)

86,4 Mbps

Peak spectral efficiency:

86,4 Mbps / 5 MHz =

17,28 bps/Hz

#### E-UTRAN

5 MHz = 25 Resource Blocks 1 RB = 12 carriers (180 kHz) 1 carrier = 6 bits (64QAM) Symbol = (66,67 + 4,7) μs # pilots = 12 out of 84 in 1 symbol # L1 signaling = 8 out of 168

12 x 6 / 71,37 = 1,01 Mbps in 5 MHz + MIMO = 25 x 1,01 x 4 x Pilot OH x L1 sig. OH = 82,45 Mbps

> Peak spectral efficiency: 16,49 bps/Hz





#### LTE DL peak rate 64 QAM and 20 MHz and 4x4 MIMO

- 14 OFDM symbols per 1.0 ms subframe
- 64QAM 6 bits per symbol
- 6 x 14 = 84 bits per 1.0 ms subframe
- 84bits/1.0ms = 84kbps per subcarrier
- 12 x 84kbps = 1.008Mbps per Resource Block
- 100 resource blocks in 20MHz
- 100 x 1.008Mbps = 100.8Mbps per antenna
- 4 x 4 MIMO: 403.2Mbps
- no overhead calculated in this example



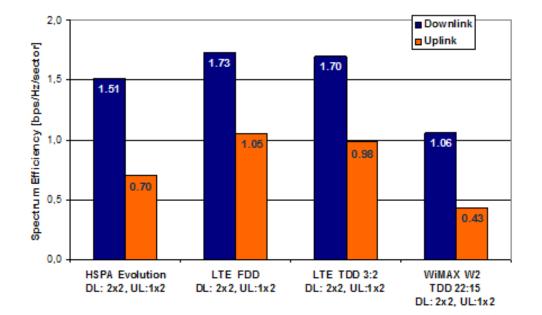




# Peak vs. Sustainable SE

#### Spectral Efficiency Urban Macro (TU-similar)

HSPA DL, LTE and WIMAX: Ericsson's input to NGMN MS 2. Urban macro 3km/h, ISD=500 m, indoor UE:s HSPA UL, 3GPP 25.814 case1 (SCM Radio channel model – 3GPP TR 25 996). Similar set up.







# NTT DoCoMo (Nov. 2009)

- NTT DoCoMo is planning to offer cloud services over its LTE network, which is due to launch at the end of next year.
- CEO Ryuji Yamada today foreshadowed what the operator calls "handset-network collaboration", taking advantage of LTE's low latency to use the network to deliver features now offered by the handset.
- "We can split the functionality between the network and the handset, [so] advanced functions could be offered to individual users at a reasonable price," he said in a keynote at the Mobile Asia Congress.
- "Because the latency is so small the customer will not notice it. This will develop into '**cloud computing**' in the future."





#### Handset-Network Collaboration

#### 3-7. Collaboration of Handsets and Networks

döcomo

Provide advanced services by optimizing the allocation of functions between handsets and networks, in particular to leverage the high-speed, low-latency, large-capacity properties of the LTE network.

Intuitive search

#### Handset/network collaboration

- To deliver more advanced services, performance requirements for handsets are becoming more demanding. However, performance improvement has so far been limited by the physical constraints of the handset.
- ♦ DOCOMO will aim to advance services by optimizing the allocation of functions between the handset and network. For example, by partially dispersing the processing load to the network leveraging its high-speed, low-latency and large-capacity characteristics, it becomes possible to deliver extremely rich services, such as intuitive search, etc.

#### Example 1) Intuitive search

By displaying search results on the screen in combination with the camera image, the customer will be able to obtain more intuitive information about the surroundings.

#### Example 2) Intuitive navigation

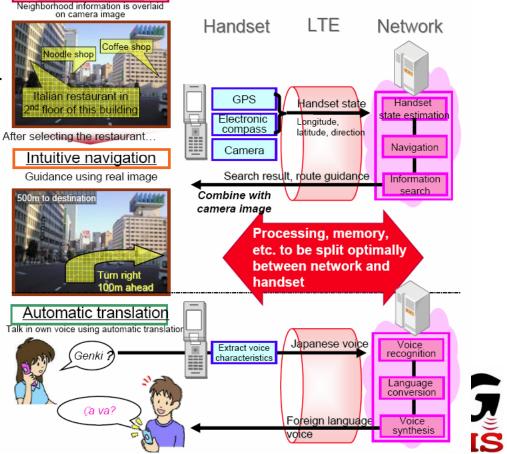
By overlaying route guidance information on the video captured by the camera, it becomes possible to provide more intuitive navigation services via mobile phones.

#### Example 3) Thin client service

Data can be stored on the network to prevent leakage of information. Applications requiring high processing power can be executed by the network through optimal functional allocation between handset and network.

#### Example 4) Automatic translation

Allows customer to talk over the phone in his/her own voice using automatic translation enabled through the joint processing of voice recognition, language conversion and voice synthesis, etc.





#### 3G vs 4G

- WiMAX, LTE are not 4G!
- 4G = IMT-Advanced by ITU-T
  - 3GPP LTE-Advanced
  - IEEE 802.16m



#### $\dots$ specifications in **2010** and beyond







### LTE-A targets / requirements

- 1 Gbps in DL peak
- 500 Mbps in UL peak
- 100 MHz channel bandwidth
- 10 ms U-plane latency
- 50 ms C-plane latency
- 30 bps/Hz in DL peak
- 15 bps/Hz in UL peak
- 300 VoIP UE per 5 MHz

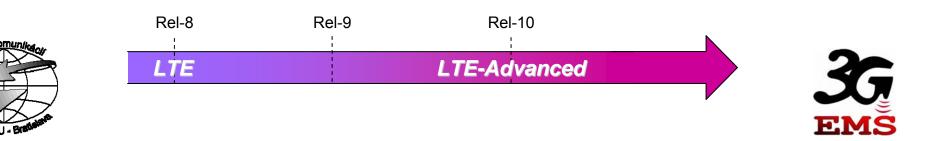




#### S T U · ·

#### LTE-Advanced

- simplified radio network operation
- multiple antenna solutions
  - to 8x8
  - for UL as well
- active interference management
- coordinated multipoint Tx/Rx
- relaying
- direct UE-to-UE communication
- network coding





### Motivation

"It is very obvious that we're pushing the limits of wireless capacity."

"This means thinking about much denser radio networks."

"Managing interference with so many more radios in place will be one issue."

"Managing handover between many tiny base stations will be another."



Paul Jacobs, CEO of Qualcomm, CTIA 2009





## Key challenges for LTE

- What to do?
  - Physical layer close to Shannon bound
  - Channel quality variations utilized in many ways
  - Interference 'out of control'
- Inter-cell interference
- Throughput increase
- Spectrum flexibility





### IMT-Advanced and LTE-Advanced Requirements and targets

	IMT-Advanced (DL/UL)	LTE release 8 (DL/UL)	LTE-Advanced (DL/UL)
Maximum bandwidth	min 40 MHz	20 MHz	100 MHz
Peak data rates [Mbps]	-	300 / 75	1000 / 500
Peak spectral efficiency [bps/Hz]	15 / 6.75	15 / 3.75	30 / 15
Average spectral efficiency [bps/Hz/cell]	2.2 / 1.4	2.05 / 1.5	2.6 / 2.0
Cell-edge user spectral efficiency [bps/user/Hz/cell]	0.06 / 0.03	0.06 / 0.07	0.09 / 0.07

Scenario: IMT-Advanced: Base coverage Urban / LTE & LTE-Advanced: 3GPP Case 1 Antenna configuration: DL: 4x2 / UL: 2x4 (1x4 for LTE)

 Already first release of LTE fulfills many of the IMT-Advanced requirements
 Advanced targets beyond IMT Advanced

Advanced targets beyond IMT-Advanced



# LTE-Advanced – Technology

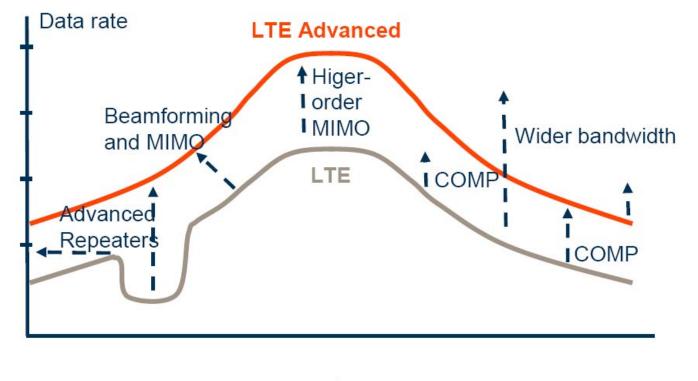
- Bandwidth extension / Carrier aggregation
- Spectrum aggregation
- Extended multi-antenna transmission
- Relaying functionality
- Coordinated multipoint transmission/reception
- HetNet

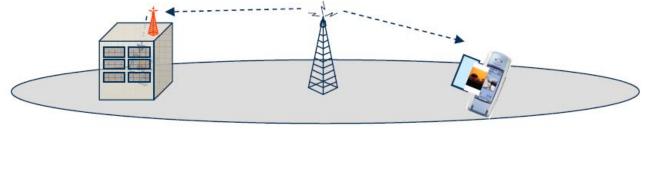






#### LTE-Advanced





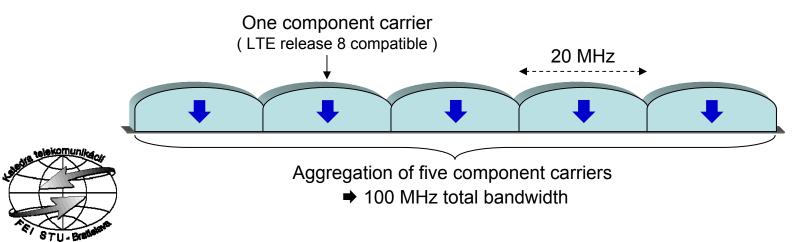






## Carrier aggregation

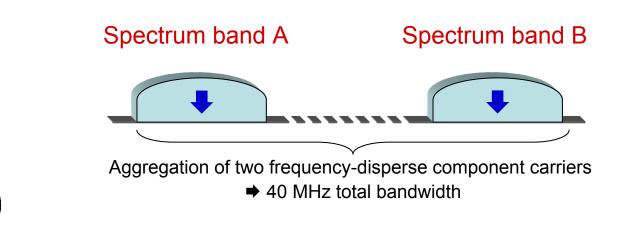
- Aggregation of a set of *component carriers*
- Each component carrier compatible with LTE release 8
   Accessible by LTE release 8 UEs
- LTE-Advanced UE can access set of aggregated carriers
  - Benefit from overall wider bandwidth





## Spectrum aggregation

- Aggregation of non-contiguous component carriers including carriers in separate spectrum
- Wider overall bandwidth without large contiguous spectrum
  - Efficient utilization of available spectrum
- Impact on UE complexity
  - Supported by high-end mobile devices





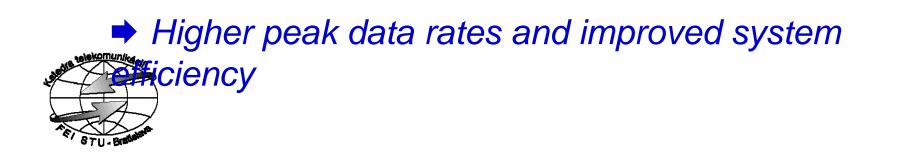


# Extended multi-antenna transmission

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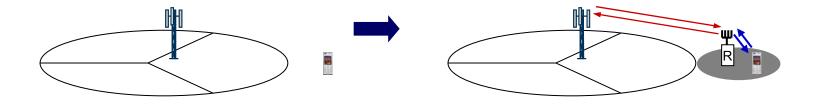
- Multi-antenna support in LTE release 8
  - Downlink transmit diversity Up to 4 antennas
  - Downlink spatial multiplexing Up to 4 antennas / layers

- Extended multi-antenna support for LTE-Advanced
  - Uplink spatial multiplexing Up to 4 layers
  - Extended downlink spatial multiplexing Up to 8 layers

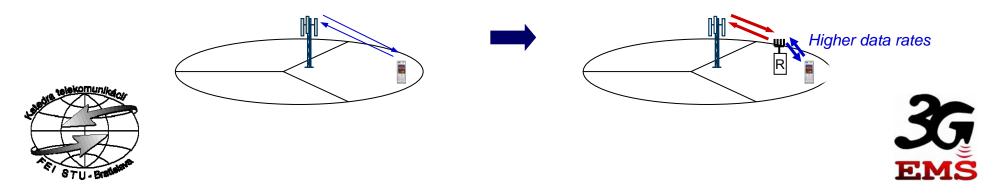


### **Relaying functionality**

 Coverage-area extension, i.e. extend coverage to areas where there currently is no coverage



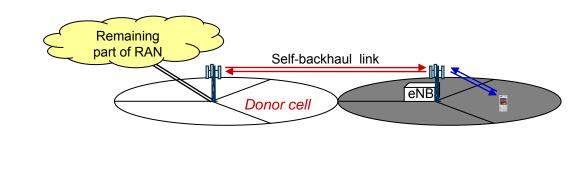
 Data-rate extension, i.e. provide higher data rates in areas where there already is lower-rate coverage





## Relaying functionality

- Repeater ("amplify-and-forward")
  - Low delay, limited standard impact
  - Sufficient in many cases
- Higher-layer relaying ("decode-and-forward")
  - User-plane forwarding on layer 2 or layer 3?
  - Location of different control-plane functionalities?

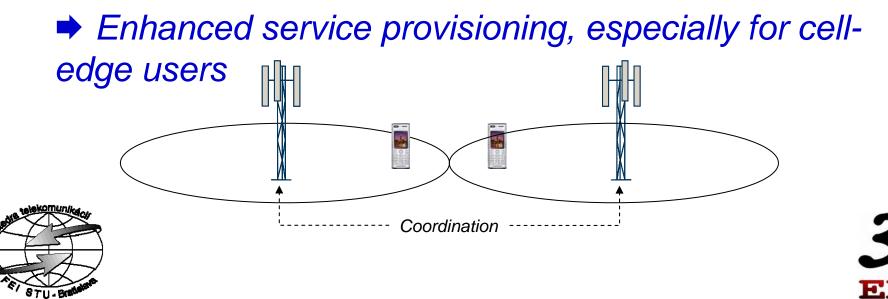






## Coordinated Multipoint transmission / reception (CoMP)

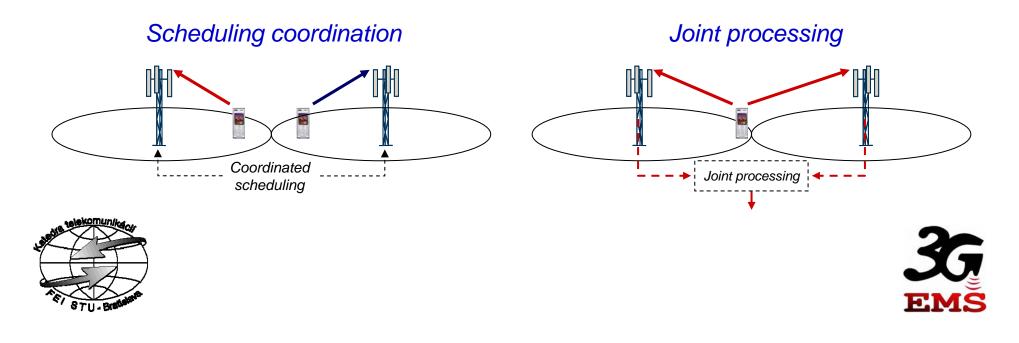
- Dynamic coordination in the transmission and/or reception between different cell sites
- What to achieve?
  - Reduced/controlled inter-cell interference
  - Improved signal strength in downlink and uplink



## Coordinated multipoint reception Uplink CoMP

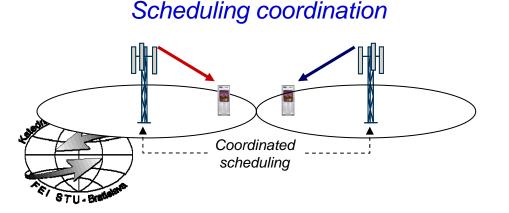


- Dynamic coordination in uplink scheduling between cell sites
  - Reception and joint processing of signals received at multiple geographically separated points

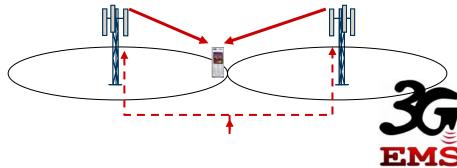


#### Coordinated multipoint transmission Downlink CoMP • Dynamic coordination in downlink scheduling between cell sites

- Joint transmission from multiple geographically separated points
  - –Non-coherent transmission 
    Power boost at the cell border
  - –Coherent transmission 
    Multi-cell beam-forming



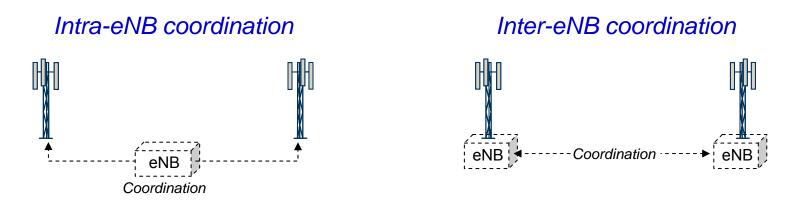
Joint transmission



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#### Architectural impact

- Coordination may be limited to cells of the same eNB or also possible between cells of different eNB
  - Intra-eNB coordination ⇔ No impact on RAN-internal interfaces
  - Inter-eNB coordination ⇔ Impact on RAN-internal interfaces



 "Baseline CoMP" between eNB (e.g. only dynamic scheduling coordination)
 Extended CoMP" within eNB (e.g. joint processing/transmission)



#### Motivation - HetNet

- "It is very obvious that we're pushing the limits of wireless capacity." HetNets
- "This means thinking about much denser radio networks."
- "Managing interference with so many more radios in place will be one issue."
- Managing handover between many tiny base stations will be

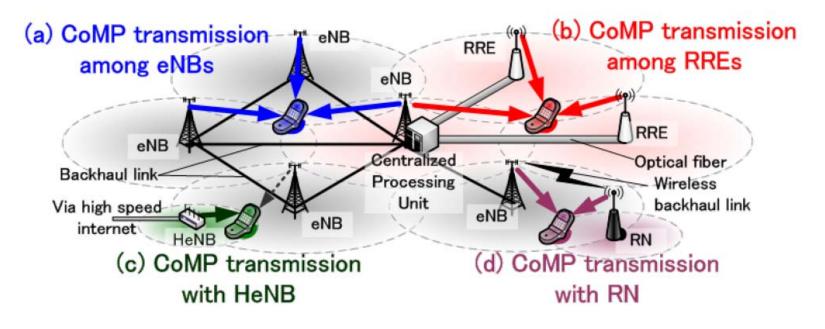


Paul Jacobs, CEO of Qualcomm, CTIA 2009



#### **HetNet & operation principle**

- □ Node types composing heterogeneous cell deployment
  - eNBs: connected via traditional or advanced backhaul
  - Remote radio equipments (RRE): directly connected to a central baseband signal processing unit via optical fiber
  - Home eNB (HeNB): connected via high speed internet connection
  - Relay Nodes (RN): connected via wireless backhaul





# "It is dangerous to put limits on wireless" – G. Marconi, 1932





